



IC Name: \_\_\_\_\_  
 IC Rep Number: \_\_\_\_\_  
 IC Phone Number: \_\_\_\_\_

**SALES CHARGE WAIVER ADDENDUM FOR ADDITIONAL INVESTMENTS INTO CLASS A SHARES**

*The client is eligible to receive a sales charge waiver based on the following:*

**A. Rights of Accumulation (ROA)/Combination Privilege**

Shares to be counted for Rights of Accumulation / Combination Privilege are held (*choose one*):

**Directly** with Sterling Capital Funds.

**OUTSIDE** of Sterling Capital Funds in a BB&T Investment Services Brokerage Account  
*(Elite UMA Accounts no longer qualify effective 12/2014)*  
 Value of Sterling Capital Funds held with BB&T Investment Services: \_\_\_\_\_  
 Account Number(s) with BB&T Investment Services: \_\_\_\_\_

Specify if this is a new request or update to an existing request (*choose one*):

This is an additional investment to an **EXISTING** Rights of Accumulation/Combination Privilege.

**UPDATE AND ADD** additional accounts to an **EXISTING** Rights of Accumulation/Combination Privilege by linking the following account(s):  
 Sterling Capital Funds Account Number: \_\_\_\_\_ Sterling Capital Funds Account Number: \_\_\_\_\_  
 Sterling Capital Funds Account Number: \_\_\_\_\_ Sterling Capital Funds Account Number: \_\_\_\_\_

Establish a **NEW** Rights of Accumulation/Combination Privilege by linking the following account(s):  
 Sterling Capital Funds Account Number: \_\_\_\_\_ Sterling Capital Funds Account Number: \_\_\_\_\_  
 Sterling Capital Funds Account Number: \_\_\_\_\_ Sterling Capital Funds Account Number: \_\_\_\_\_

**B. Letter of Intent (*choose one*):**

This is an additional investment to an **EXISTING** Letter of Intent (LOI).

This is a **NEW** Letter of Intent.  
*The client plans to invest over a 13-month period in shares of one or more of the funds in the Sterling Capital Funds (except the Sterling Deposit Account) an aggregate amount of at least:*

\$50,000     \$100,000     \$250,000     \$500,000     \$1,000,000

*(Account balances under "Rights of Accumulation" (ROA) privilege are eligible to be counted towards fulfilling the LOI. The value of the ROA will be established with the closing market value prior to the date that LOI is being established. Sales loads are not adjusted on prior trades. Please complete the ROA section above to specify accounts that qualify for inclusion in meeting the LOI).*

**The above aggregated amount will be invested over the next 13 months into the following account(s):**  
 Sterling Capital Funds Account Number: \_\_\_\_\_ Sterling Capital Funds Account Number: \_\_\_\_\_  
 Sterling Capital Funds Account Number: \_\_\_\_\_ Sterling Capital Funds Account Number: \_\_\_\_\_

**C. NAV Trades for a qualified purchaser category (*choose one*):**

NAV with up to 1% finder's fee (.50% for Short Duration Bond Fund, Intermediate U.S. Government Fund, Corporate Fund, Securitized Opportunities Fund, or a State Specific Intermediate Tax-Free Fund purchase up to \$5 million; No finder's fee paid on Ultra Short Bond Fund) paid to the dealer/rep and the client therefore subject to a contingent deferred sales charge for a period of two years (18 months for Short Duration Bond Fund, Intermediate U.S. Government Fund, Corporate Fund, Securitized Opportunities Fund, or a State Specific Intermediate Tax-Free Fund). Please see prospectus for amounts over \$2.5 million.  
 Qualifying purchaser category and explanation: \_\_\_\_\_

**Reinstatement Privilege (Buyback)** Sold Class A Shares and decided to reinvest in the Fund within a 90 day period, will not be charged the applicable sales charge on amounts up to the value of the shares you sold.

**Employee Account at Straight NAV** (Please note that trades placed at straight NAV do not pay a finder's fee to dealer/rep.)

Investment Counselor Signature: \_\_\_\_\_ Date: \_\_\_\_\_

FOR BROKER DEALER USE ONLY.